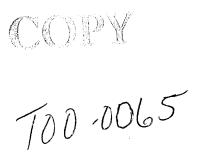
### ILLINOIS COMMERCE COMMISSION

### STIPULATED AGREEMENT



This agreement made and entered into, by and between the State of Illinois acting by and through the Illinois Commerce Commission, hereinafter referred to as the "Commission", Union Pacific Railroad Company, hereinafter referred to as the "Company", the City of Pana, hereinafter referred to as the "City", and the State of Illinois, Department of Transportation, hereinafter referred to as the "Department".

### WITNESSETH:

WHEREAS, it has come to the attention of the Commission through informal communication that inquiry should be made into the matter of improving public safety at the crossings of the Company's track with public highways known as Poplar Street, Locust Street, State Street and Johns Street, all located in the City of Pana, Christian County, Illinois, designated as crossings AAR/DOT 166 880S, milepost 204.96CY; AAR/DOT 166 882F, milepost 205.06CY, AAR/DOT 542 123H, milepost 167.30STL, and AAR/DOT 542 124P, milepost 167.70STL, respectively.

WHEREAS, proper investigation has been made of the circumstances surrounding the aforesaid crossings by a member of the Commission's Railroad Section staff; and

WHEREAS, the physical aspects, including geometrics of the intersection, train movements, vehicular traffic volumes, and all other pertinent data relating to the Poplar Street, Locust Street and State Street crossings has been obtained and shown on Exhibits A-1 through A-3, attached to the agreement; and

WHEREAS, the parties are mutually agreeable to accomplish the proposed improvements upon a determination of the Commission by Order.

NOW, THEREFORE in consideration of the premises and of the mutual covenants and agreements as hereinafter contained the parties pray that the Commission enter an Order according to the provisions of Section 18c-7401 of the Illinois Commercial Transportation Law requiring that certain improvements as hereinafter stated be made and that the cost for the proposed improvements be divided among the parties according to law and that in the interest of the statewide traveling public the Grade Crossing Protection Fund of the Motor Fuel Tax Law be required to bear a substantial portion of the cost; To Wit the parties agree as follows:

Section 1 All improvements encompassed by this agreement shall be made in accordance with all applicable State laws, rules, standards, regulations and Orders and procedures in general.

<u>Section 2</u> The parties are of the opinion that the proper improvements in the interest of public safety at the aforesaid crossings should be:

- a) the installation of automatic flashing light signals and gates controlled by constant warning time circuitry at the Poplar Street crossing.
- b) the installation of automatic flashing light signals and gates controlled by constant warning time circuitry at the Locust Street crossing.
- c) the installation of automatic flashing light signals and gates controlled by constant warning time circuitry at the State Street crossing.
- d) the installation of constant warning time circuitry to control the existing automatic flashing light signals and gates at the Johns Street crossing.

Section 3 The Company has prepared preliminary estimates of cost to accomplish the proposed improvements which it may be required to perform, said estimates are attached as Exhibits B-1 through B-3, and shall upon Order according to the requirements contained therein prepare detailed drawings, detailed circuit plans, estimates of cost and any required specifications for the proposed improvements for the approval of the Commission and Department.

Section 4 The Company shall upon Order, according to the requirements contained therein, proceed toward the completion of the proposed improvements, accomplishing the work with its own forces or appropriate contracted services and agrees that an appropriate time for the submission of plans should be one hundred twenty (120) days and for the completion of the proposed improvements should be twelve (12) months from the date of Commission Order subsequent to this agreement. The time schedule for the submission of progress reports from the Company is contained in Section 7 herein.

<u>Section 5</u> The parties hereto agree that an equitable division of cost for the proposed improvements should be:

a) the cost to install the automatic warning devices and circuitry at the Poplar Street crossing, estimated to be \$105,752, should be divided 90%, in an amount not to exceed \$95,177, to the Grade Crossing Protection Fund ("Fund") with all remaining installation cost and all cost of future

maintenance and operation of the warning devices and circuitry being borne by the Company.

- b) the cost to install the automatic warning devices and circuitry at the Locust Street crossing, estimated to be \$148,419, should be divided 90%, in an amount not to exceed \$133,577, to the Fund with all remaining installation cost and all cost of future maintenance and operation of the warning devices and circuitry being borne by the Company.
- c) the cost to install the automatic warning devices and circuitry at the State Street crossing, including the circuitry upgrade at the Johns Street crossing, estimated to be \$295,010, should be divided 90%, in an amount not to exceed \$265,509, to the Fund with all remaining installation cost and all cost of future maintenance and operation of the warning devices and circuitry at both the State Street and Johns Street crossings being borne by the Company.

Special Provisions. All bills for expenditures authorized to be reimbursed from the Grade Crossing Protection Fund shall be submitted to Mr. Hank Cronister, Bureau of Local Roads and Streets, Main Office of the Illinois Department of Transportation at 2300 South Dirksen Parkway, Springfield, IL 62764. A copy should also be submitted to the Director of Processing and Information, Transportation Division of the Commission. The final bill for expenditures from the Company shall be clearly marked "Final Bill". All bills shall be submitted to the Commission no later than twenty four (24) months from the date of Commission Order subsequent to this agreement. Authorization for reimbursement from the Grade Crossing Protection Fund shall expire twelve (12) months after the completion date specified in the Order. The Department shall, at the end of the 24th month from the Commission's Order date, de-obligate all residual funds accountable for installation costs for this project.

The Company shall file a written report within ninety (90) days from the date of Commission Order subsequent to this agreement, with the Director of Processing and Information of the Commission's Transportation Division, listing the name, title, mailing address, phone number and facsimile number of the Company's employee responsible for management of this project.

The Company shall file a written report with the Director of Processing and Information, Transportation Division of the Commission, within fifteen (15) days of the conclusion of each calendar quarter, stating the status of expenditures and percentage of completion of the warning device and circuitry installations; if the project is behind schedule, the report must include a brief explanation of the reason(s) for the delay.

Section 7 This agreement shall be binding upon the parties hereto, their

successors or assigns. Upon execution of this agreement by all parties, the Commission shall enter an appropriate Order, within 60 days accepting or rejecting such stipulation according to the provisions contained herein.

In Witness Whereof, the parties have caused this agreement to be executed by their duly authorized officers, as of the dates indicated herein.

Executed by the Commission this 15th day of April , 2000.

Michael E. Stead Rail Safety Program Administrator

**Attest**:

Railroad Safety Specialist

Illinois Commerce Commission Stipulated Agreement No. 832 concerning improvements at the crossings of the track of the Union Pacific Railroad Company with public highways known as Poplar Street, Locust Street, State Street and Johns Street located in Pana, Christian County, Illinois, designated as crossings AAR/DOT 166 880S, milepost 204.96 CY, AAR/DOT 166 882F, milepost 205.60CY, AAR/DOT 542 123H, milepost 205.33STL, and AAR/DOT 542 124P, milepost 205.60STL, respectively.

Executed by the City of Pana this  $25^{+h}$  day of 0, 2000.

THE CITY OF PANA

By:

Attest:

Illinois Commerce Commission Stipulated Agreement No. 832 concerning improvements at the crossings of the track of the Union Pacific Railroad Company with public highways known as Poplar Street, Locust Street, State Street and Johns Street located in Pana, Christian County, Illinois, designated as crossings AAR/DOT 166 880S, milepost 204.96 CY, AAR/DOT 166 882F, milepost 205.60CY, AAR/DOT 542 123H, milepost 205.33STL, and AAR/DOT 542 124P, milepost 205.60STL, respectively.

Executed by the Department this 2 day of May, 2000.

STATE OF ILLINOIS **DEPARTMENT OF TRANSPORTATION** 

By: C. Slife.

Diffector of Highways

Illinois Commerce Commission Stipulated Agreement No. 832 concerning improvements at the crossings of the track of the Union Pacific Railroad Company with public highways known as Poplar Street, Locust Street, State Street and Johns Street located in Pana, Christian County, Illinois, designated as crossings AAR/DOT 166 880S, milepost 204.96 CY, AAR/DOT 166 882F, milepost 205.60CY, AAR/DOT 542 123H, milepost 205.33STL, and AAR/DOT 542 124P, milepost 205.60STL, respectively.

Executed by the Company this \_

\_ day of \_\_ ٔ

\_\_,2000.

UNION PACIFIC RAILROAD COMPANY

By:

CHIEF ENGINEER

Attest:

I E

## ILLINOIS COMMERCE COMMISSION

## STIPULATED AGREEMENT SURVEY FORM

RR/Line	UP	Milepost_	204.96 C	Y Inventory	# <u>166 880S</u>
City	Pana	Street	Poplar	County	Christian
Jurisdictio n	City	Urban :	x Rural	Commercial	Residential
Street Surface		Width		Condition	
Angle°	Tracks Tan	gent De	gree of Curve_	Superele	vation
Depth of Cut			Height of Fill		
		Crossir	ng Surface		
Track E Centers	Elevation	Track	Туре	Width	Condition
		Main			
Intersecting Roads	<100'		<200	0'	
Traffic Control Present:	Devices		······································		
ADT	1450	Speed	30 mph	Statewide_	Yes
School Buses		Hazardou	s Materials	Ot	ner
Rail Traffic:	Ft. 11	@ <u>60</u> mph	Pass. N/A @	mph	Switch No
Simultaneous Movements:		N/A	Dayligh 	nt and Dark: ——	Yes
Present Protection:					
Advance Warr	ning Signs		Pavemen	t Marking	,

### Visibility Study

Quad Clearing Visibility		Obstruction	Stopping Visibility	Obstruction	
NE NW					
SE SW					
		Approach C	<u>Grades</u>		
North	6	%	· %	í	%
South	•	%	%		%

Comments: This crossing meets the minimum Stipulated Agreement criteria for the addition of gates to the existing automatic flashing light signals in that the product of the average daily train and vehicular traffic exceeds 3,000.

The Illinois Department of Transportation has an agreement with the Company for the installation of constant warning time circuitry at the Washington Street (III. Rte. 29) crossing in Pana. The Washington Street crossing is located between the Poplar Street and Locust Street crossings. The circuit lengths at Washington Street overlap with the circuitry at the adjacent crossings and vice versa. In order for the constant warning time circuitry to be installed at the Washington Street crossing to be compatible with the circuitry at the adjacent crossings and to provide for the proper operation of the warning devices at the four crossings, constant warning time circuitry must be installed at all four crossings.

# ILLINOIS COMMERCE COMMISSION

# STIPULATED AGREEMENT SURVEY FORM

RR/Line	UP	Milep	ost	205.06 C	Y Inventor	/ #166 882F	
City	Pana	Street		Locust	County	Christian	
Jurisdictio n	City	Urb	an x	Rural	Commercial x	Residential x	
Street Surface	Aspha	lt Wid	th	39' F-F	Condition	n Good	
Angle 120°	Tracks Tar	ngent N/A	Degre	ee of Curve_	N/A Superele	evation N/A	
Depth of Cut_		0'		Height of Fill		0'	
		<u>Cro</u>	ssing	<u>Surface</u>			
Track	Elevation	Track		Туре	Width	Condition	
Centers		Main	Ful	l Depth Timb	per 72'	Good	
Intersecting Roads	<100'	N/A ·	<200'	Washingto	on Street (III. Rout	e 29) - 145' North	
Traffic Contro	l Devices			Stop S	ign on Locust		
ADT	2650	Speed	l	30 mph	Statewide_	Yes	
School Buses	<b></b>	Hazar	dous N	Materials	O1	her	
Rail Traffic:	Ft. 9	@ <u>60</u> mp	h P	ass. <u>N/A</u> (	@mph	Switch No	
Simultaneous Movements:		N/A		Dayligh -	nt and Dark:	Yes	
Present AFLS; Crossbucks; One Pedestrian Bell Protection:							
Advance War	ning Signs _	South side of	only	Pavemen	it Marking	No	

#### Visibility Study

Quad Clearing Visibility		Obstruction	Stopping Visibility	Obstruc	Obstruction		
NE NW SE SW							
		Approach G	<u>rades</u>				
North	OK '	%	' %	•	%		
South	OK '	%	- %		%		

Comments: This crossing meets the minimum Stipulated Agreement criteria for the addition of gates to the existing automatic flashing light signals in that the product of the average daily train and vehicular traffic exceeds 3,000.

The Illinois Department of Transportation has an agreement with the Company for the installation of constant warning time circuitry at the Washington Street (III. Rte. 29) crossing in Pana. The Washington Street crossing is located between the Poplar Street and Locust Street crossings. The circuit lengths at Washington Street overlap with the circuitry at the adjacent crossings and vice versa. In order for the constant warning time circuitry to be installed at the Washington Street crossing to be compatible with the circuitry at the adjacent crossings and to provide for the proper operation of the warning devices at the four crossings, constant warning time circuitry must be installed at all four crossings.

## ILLINOIS COMMERCE COMMISSION

### STIPULATED AGREEMENT SURVEY FORM

RR/Line	UP	Milepo	st 167.30 STL	Inventory	#542 123H	
City	Pana	Street	State Street	County_	Christian	
Jurisdictio n	City	Urbaı	n x Rural C	ommercial x	Residential x	
Street Surface		e with Asphalt proaches	Width 21'	E-E Condition	on Fair	
Angle 90°	_ Tracks Tan	gent <u>N/A</u> I	Degree of Curve N	/A Superele	vation N/A	
Depth of Cut_		0'	Height of Fill	0	,	
		Cros	sing Surface			
Track Centers	Elevation	Track	Туре	Width	Condition	
Centers		Main	lain Full Depth Timber		Fair	
Intersecting Roads	<100'	N/A	<200	)'	N/A	
Traffic Contro	ol Devices	Devices N/A				
ADT	2650	Speed	30 mph	Statewide	Yes	
School Buses	s	Hazard	ous Materials	Ott	ner	
Rail Traffic:	Ft. 9	@ <u>60</u> mph	Pass. N/A @_	mph	Switch No	
Simultaneous Movements:	s 	N/A	Daylight a	and Dark:	Yes	
Present Protection:	AFLS,	Crossbucks		and the second s		
Advance Wa	rning Signs_	No	Pavement N	/larking	No	

### Visibility Study

Quad	Clearing Visibility	Obstruction	Stopping Visibility	C	Obstruction	
NE						
NW						
SE						
SW						
		Approach C	<u>Grades</u>			
North	OK '	%	ŧ	%	<b>%</b>	
South	First 25 '	2.2 % Next 2	5 ' 2.6	%	· %	

Comments: This crossing meets the minimum Stipulated Agreement criteria for the addition of gates to the existing automatic flashing light signals in that the product of the average daily train and vehicular traffic exceeds 3,000.

The Illinois Department of Transportation has an agreement with the Company for the installation of constant warning time circuitry at the Washington Street (III. Rte. 29) crossing in Pana. The Washington Street crossing is located between the Poplar Street and Locust Street crossings. The circuit lengths at Washington Street overlap with the circuitry at the adjacent crossings and vice versa. In order for the constant warning time circuitry to be installed at the Washington Street crossing to be compatible with the circuitry at the adjacent crossings and to provide for the proper operation of the warning devices at the four crossings, constant warning time circuitry must be installed at all four crossings.

The Johns Street crossing is currently equipped with automatic flashing light signals and gates. Again, due to the close proximity of the Johns Street crossing to the State Street crossing and to insure the provide for the proper operation of the warning devices at each crossing, the Johns Street crossing must be provided with constant warning time circuitry. The cost for this installation is contained in the estimate attached as Exhibit B-3.

DATE: 1999-11-19

### ESTIMATE OF MATERIAL AND FORCE ACCOUNT WORK Exhibit B-1 BY THE UNION PACIFIC RAILROAD

page 1

DESCRIPTION OF WORK:

INSTALL GRADE CROSSING WARNING DEVICES AT POPLAR ST IN PANA IL MP.204.96 ON THE PANA SUB. THIS CROSSING TO SHARE CWT & HOUSE WITH WASHINGTON ST. SIGNAL PROJECT MANGER: R.A. THOMPSON 8-245-2587 RAILROAD TO PERFORM ALL WORK / COST DISTRIBUTED AS FOLLOWS: SIGNAL - ILLINOIS COMMERCE COMMISSION - 90% / UPRR - 10%

	AWO: CITY:	32476 PANA	العند الذين - العند الذين		DIV: 20 ATE: IL	4.96, PANA	
DESCRIPTION	QΤΥ	UNIT	LABOR	MATERIAL	RECOLL	UPRR	TOTAL
ENGINEERING WORK ENGINEERING LABOR ADDITIVE			4005 6579		3604 5921	401 658	4005 6579
SIG-HWY XNG			1478		1330	148	1478
TOTAL ENGINEERING		-	12062		10855	1207	12062
SIGNAL WORK BILL PREP CONTRACT			900	3074	810 2 <b>76</b> 6	90 3 <b>08</b>	900 3074
EQUIPMENT RENTAL				2000		200	2000
FOREIGN LINE FREIGHT				614	552	62	614
LABOR ADDITIVE			21315	1527	19184 1383	2131 154	21315 1537
MATL STORE EXPENSE PERSONAL EXPENSES				1537 9 <b>02</b> 5	8122	903	9025
SALES TAX				1921		193	1921
SIGNAL			16863		42848		47610
TRANSPORTATION CHARGES			10000	1614		162	1614
USAGE EQUIPMENT				3040	2736	304	3040
TOTAL SIGNAL		-	39078	53572	83381	9269	92650
SIGNAL RETIREMENT LABOR ADDITIVE			540		540		540
SIG-HWY XNG			500		450	50	500
TOTAL RETIREMENT		-	1040	)	990	50	1040
LABOR/MATERIAL EXPENS RECOLLECTIBLE/UPRR EX ESTIMATED PROJECT COS	PENSE	-	52180	53572	95226	10526	105752
EXISTING REUSEABLE MA SALVAGE NONUSEABLE MA	TERIA				0 0		103/32

RECOLLECTIBLE LESS CREDITS

THE ABOVE FIGURES ARE ESTIMATES ONLY AND SUBJECT TO FLUCTUATION. IN THE EVENT OF AN INCREASE OR DECREASE IN THE COST OR QUANTITY OF MATERIAL OR LABOR REQUIRED, UPRR WILL BILL FOR ACTUAL CONSTRUCTION COSTS AT THE CURRENT EFFECTIVE RATE.

FORM 30-1

PAGE 04 MORE

#### WORK ORDER AUTHORIZATION-DETAIL OF ESTIMATED EXPENDITURES

EST START: 01-01-00 EST COMP: 12-31-00

RAILROAD: UPRR CO.

LOCATION: PANA, IL POPLAR ST PANA SUB

DEPARTMENT: ENGINEERING SERVICES

PROJ NO: 32749

A.W.O. NO: 32476

W.O. NO:

B.I. NO: 99EN10

STATE: IL

VAL SEC: 1121W

..... STOCK MATERIAL PLAN

ITEM	ITEM	UNIT	D	IRECT
NUMBER	DESCRIPTION	COST	QTY UM M	ATL \$
	BATTERY, GNB, 75A9 OR 50A13	183.72	6 EA	1102
	BATTERY, GNB, 75A23 OR 50A27	324.20	7 EA	2269
-	CABLE TIE, NYLON 1 1/8" MAX. DIA	0.06	200 EA	12
	CABLE TIE, NYLON 3 1/2" MAX. DIA	0.22	20 EA	4
	HEAT SHRINKABLE TUBING, .75" DIA.	1.03	6 EA	6
	HEAT SHRINKABLE TUBING, 1.1" DIA.	1.60	3 EA	5
	RELAY, GEN.PURPOSE 120V. TAB 856	12.96	1 EA	13
	SOCKET,P-B 27E122 TAB 836A & 856	2.72	1 EA	3
	TAPE, ELECTRICAL PLASTIC	2.45	2 RL	5
	TERMINAL, SPADE, 10-12 WIRE, #8 STUD	0.33	10 EA	3
	2363 J,SLEEVE,4-6,REDUCING	0.85	6 EA	5
	2367 J SLEEVE, SPLICING, 4-4	0.84	6 EA	5
	) TERM.LUG 3/16 CABLE-1/4 POST 2412	0.83	50 EA	42
	33466 TERMINAL, RING TONGUE AMP	0.23	24 EA	6
	321527-1 TERMINAL, TEST	1.11	10 EA	11
	CONNECTOR, 3/8 INCH STRAIGHT BO	0.09	3 EA	0
	CONNECTOR, 3/4 INCH STRAIGHT BO	1.23	1 EA	1
	CONNECTOR, 1 1/4 INCH STRAIGHT BO	13.65	1 EA	14
	O LOCKNUT, 1 1/4 INCH CONDUIT	0.14	1 EA	0
	O LAMP, INCANDESCENT, 10V.25W.	5.18	6 EA	31
	O GROUND ROD, 5/8 INCH X 8 FEET	5.19	4 EA	21
	O GRND.ROD CONN. 4WAY ONESHOT.	5.05	4 EA	20
	O WIRE,#6 AWG.1 COND.COPPER,SOLID	0.11	100 LF	11
	0 WIRE,#6 AWG. 2 COND.(500' ROLL)	0.78	250 LF	195
	O WIRE,#6 AWG.5 COND. (500' ROLL)	1.44	250 LF	360
	O WIRE #6 AWG 1 COND COPPER, STRAN	0.37	150 LF	5 <b>6</b>
	O WIRE #10 AWG 1 COND COPPER, STRAN	0.14	10 <b>00</b> LF	140
	O WIRE #10 AWG 1 COND COPPER, TWIST	0.32	170 LF	, 54
	O WIRE #14 AWG 7 COND CC,(500' ROLL	0.67	250 LF	168
	O WIRE #16 AWG 1 COND COPPER, STRAN	0.07	250 LF	18
	O TOGGLE, SPRING WING 3/8	0.52	4 EA	2
	O SHEET METAL SCREW,#12 X 3/4"	2.03	2 BX	4
	60 SHEET METAL SCREW #12 X 1"	2.96	1 BX	3
1706140	OO PADLOCK, SIGNAL, WITHOUT KEY, AMERIC	12.00	5 EA	60
1900997	70 HOSE,1 1/2 INCH, SIGNAL BOOTLEG	1.16	60 LF	70
2803321	10 CARTON, 39 1/8"L X 23 1/4"W X 17	3.36	1 EA	3
2 <b>80332</b> 3	30 CARTON, 47 3/8"L X 39 1/2"W X 18"	7.42	1 EA	7